

## Stipulations with request for award OCR form sample packet

This packet contains instructions on how to fill in Optical Character Recognition (OCR) forms, examples of forms and is in the order in which forms / documents should be filed with the district office.

Use the table below to help identify the forms that you need to complete when filing the stipulations with request for award. The table also shows the order in which the forms should be assembled. To help you find the correct document separator sheet, the product delivery unit, document type and document title are in brackets. In this packet, you will see examples as filed by the applicant attorney for injured worker.

#### Name of form

1	Document cover sheet
2	Document separator sheet for stipulations with request for award  [ADJ-LEGAL DOCS-STIPULATIONS WITH REQUEST FOR AWARD]
3	Stipulations with request for award
4	Document separator sheet for QME reports [ADJ-MEDICAL DOCS-QME REPORTS]
5	QME report
6	Document separator sheet for QME reports [ADJ-MEDICAL DOCS-QME REPORTS]
7	QME report
8	Document separator sheet for proof of service [ADJ-LEGAL DOCS-PROOF OF SERVICE]
9	Proof of service

This packet is an example of how to fill in forms and the order in which they should be filed with the district office.

DWC-CA form 10232.1 Rev. 7/2010 - Page 1 of 8

#### STATE OF CALIFORNIA DWC DISTRICT OFFICE

#### **DOCUMENT COVER SHEET**



Is this a new case?	Yes	No 🗸	Companion Ca	ases Exist		Walkthrough	Yes	No	$\checkmark$
More than 15 Com	panion Cases						SOCIAL SE		
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		Sp.	ecific Injury						
Case Number 1		Cu	umulative Injury	(Start Date: M (If Specifi		Y) e the start date a	(End Date:		
Body Part 1:	420		NO OTHER	INFORMATION WHEN	В	ody Part 3:			
Body Part 2:	100			CASE NUMBER		ody Part 4:			
Other Body Parts:									
Please check unit to	o be filed on (	check only o	one box						
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		Sp	ecific Injury						
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Body Part 2:			EAMS CASE		В	ody Part 4:			
Other Body Parts:									

<del></del>	Specific Injury		
Case Number 3	Cumulative Injury	(Start Date: MM/DD/YYYY)  (If Specific Injury, use the start date a	(End Date: MM/DD/YYYY) s the specific date of injury)
Body Part 1:		Body Part 3:	
Body Part 2:		Body Part 4:	
Other Body Parts:			
	Specific Injury		
Case Number 4	Cumulative Injury	(Start Date: MM/DD/YYYY)  (If Specific Injury, use the start date)	(End Date: MM/DD/YYYY) ate as the specific date of injury)
Body Part 1:		Body Part 3:	
Body Part 2:		Body Part 4:	
Other Body Parts:	Specific Injury		DO NOT PRINT OR SUBMIT BLANK PAGES.
Case Number 5	Cumulative Injury	y (Start Date: MM/DD/YYYY)  (If Specific Injury, use the start date)	(End Date: MM/DD/YYYY) ate as the specific date of injury)
Body Part 1:		Body Part 3:	
Body Part 2:		Body Part 4:	
Other Body Parts:			

District office codes for place of venue

Legend	
Abbreviation	Office
AHM	Anaheim
ANA	Santa Ana
BAK	Bakersfield
EUR	Eureka
FRE	Fresno
GOL	Goleta
LAO	Los Angeles
LBO	Long Beach
MDR	Marina del Rey
OAK	Oakland
OXN	Oxnard
POM	Pomona
RDG	Redding
RIV	Riverside
SAC	Sacramento
SAL	Salinas
SBR	San Bernardino
SDO	San Diego
SFO	San Francisco
SJO	San Jose
SLO	San Luis Obispo
SRO	Santa Rosa
STK	Stockton
VNO	Van Nuys

Use this document to complete forms, but do not file this document with your forms.

DO NOT PRINT OR SUBMIT THIS PAGE.



#### Body Part Code List

The body part codes listed below are used to complete forms that require the listing of the part of the body that is in issue. Please do not file this document with your forms.

100	IId4:6-d	500	
100 110	Head - not specified	500	Lower extremities - not specified
	Brain	510	Legs - above ankles, not specified
120	Ear - not specified Ear - external	511	Thigh femur
121		513	Knee Patella
124	Ear - internal including hearing	515	Lower leg tibia and fibula
130	Eye - including optic nerves and vision	518	Leg - multiple parts any combination of
140	Face - not specified	710	above parts
141	Jaw - including chin and mandible	519	Leg - not specified
144	Mouth - including lips, tongue, throat and taste	520	Ankle malleolus
145	Teeth	530	Foot not ankle or toe
146	Nose - including nasal passages, sinus and smell	540	Toes
148	Face - multiple parts any combination of	598	Lower extremities - multiple parts any
	above parts		combination of above parts
149	Face - forehead, cheeks, eyelids	700	Multiple parts more than five major parts
150	Scalp		use only in fifth position of listing of body parts
160	Skull	800	Body system - not specific
198	Head - multiple injury any combination of	801	Circulatory system - heart -other than heart
	above parts		attack, blood, arteries, veins, etc.
200	Neck	802	Circulatory system - Heart attack
300	Upper extremities - not specified	810	Digestive system - stomach
310	Arm - above wrist not specified	820	Excretory system - kidneys, bladder, intestines,
311	Arm - upper arm humerus		etc.
313	Arm - elbow head of radius	830	Musculo-skeletal system - bones, joints, tendons,
315	Arm -forearm radius and ulna		muscles, etc.
318	Arm - multiple parts any combination of	840	Nervous system - not specified
	above parts	841	Nervous system - stress
319	Arm - not specified	842	Nervous system - Psychiatric/psych
320	Wrist	850	Respiratory system - lungs, trachea, etc.
330	Hand - not wrist or fingers	860	Skin dermatitis, etc.
340	Fingers	870	Reproductive systems
398	Upper extremities - multiple parts my combination	<b>\</b> \\880	Other body systems
	of above parts	<b>&gt;</b> 999	Unclassified - insufficient information to
400	Trunk - not specified		identify body parts
410	Abdomen - including interpal organs and groin	/ /	
411	Hernia		
420	Back - including back muscles, spine and spinal cord	\	
430	Chest - including ribs, breast bone and internal		DO NOT PRINT OR
	organs of the chest		SUBMIT THIS PAGE.
440	Hips - including pelvis, pelvic organs, tailbone,		
	coccyx and battocks		
450	Shoulders scapula and clavicle		
498	Trunk - use for side; multiple parts any combination		
	of above parts		

Use this document to complete forms, but do not file this document with your forms.



## **DOCUMENT SEPARATOR SHEET**



Decument Time		
Document Type	LEGAL DOCS	
ent Title STIPULATIONS W	VITH REQUEST FOR AWARD	
Document Date	10/31/2008 MM/DD/YYYY	DATE OF DOCUMENT FOLLOWING DOCUM SEPARATOR SHEET  IF YOU ARE A CLAIMS ADMINISTRATOR, HEARING REPRESENTATIVE OR LAW FIRM
Author	UNIFORM ASSIGNED NAME	USE YOUR UNIFORM ASSIGNED NAME. FO UNREPRESENTED INJURED WORKERS AN OTHERS ENTER YOUR NAME.
	Office Use Only	







# STATE OF CALIFORNIA DIVISION OF WORKERS' COMPENSATION WORKERS' COMPENSATION APPEALS BOARD STIPULATIONS WITH REQUEST FOR AWARD

 _

ADJ1235697		Date of Injury	03/19/2005	
Case No.			MM/DD/YYYY	
SOCIAL SECURITY NUI IS NOT REQUIRED.	MBER			
SSN (Numbers Only)				
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County of residenc	e of employee (Labor Code	e section 5501.5(a)(1) or (d).)		
County where injur	y occurred (Labor Code se	ction 5501.5(a)(2) or (d).)		
✓ County of principal	place of business of emplo	yee's attorney (Labor Code s	ection 5501.5(a)(3) or (d	).)
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		ET FOR LISTING OF DISTRICT earing (From the Document C		
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Applicant (Completion	n of this section is requir	<mark>ed)</mark>		
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Last Name				
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✓ Insured	Self-Insured	Legally Uninsured	Uninsu	red
PREMIUM CRACK				
Employer Name (Pleas	se leave blank spaces betw	een numbers, names or word	ds)	
660 E 7TH ST				
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OAKLAND			CA	95409
City			State	Zip Code
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EXPRESS INSURANCE COMPANY		
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Insurance Carrier Street Address/PO box (Please leave blank spaces t	between numbers, names or words)	
SACRAMENTO	CA	95800
City	State	Zip Code
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Claims Administrator Information (if known and if applicable	ASSIGNED NAME OF THE CLAIMS ADMINISTRATOR.	
SPRING CLAIMS MODESTO		
Name (Please leave blank spaces between numbers, names or words)		
	ENTER THE ADDRESS THAT IS IN UNIFORM ASSIGNED NAME	
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Street Address/PO Box (Please leave blank spaces between numbers,	names or words)	
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Employer #4 Information (Completion of this	section is required)			
Insured Self-Insured	Legally Uninsured	Unins	ured -	+
Employer Name (Please leave blank spaces be	tween numbers, names or words)			
Employer Street Address/PO Box (Please leave	e blank spaces between numbers, nan	mes or words)		
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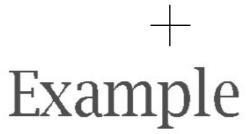
More than 4 Compa	anion Cases	
	Specific Injury	
Case Number 1	Cumulative Injury	(Start Date: MM/DD/YYYY) (End Date: MM/DD/YYYY)  (If Specific Injury, use the start date as the specific date of injury)
Body Part 1:	Body Part 2:	Body Part 3:
Body Part 4:	Other Body Parts:	
	Specific Injury	
Case Number 2	Cumulative Injury	(Start Date: MM/DD/YYYY) (End Date: MM/DD/YYYY)  (If Specific Injury, use the start date as the specific date of injury)
Body Part 1:	Body Part 2:	Body Part 3:
Body Part 4:	Other Body Parts:	
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Case Number 3	Cumulative Injury	(Start Date: MM/DD/YYYY) (End Date: MM/DD/YYYY)  (If Specific Injury, use the start date as the specific date of injury)
Body Part 1:	Body Part 2:	Body Part 3:
Body Part 4:	Other Body Parts:	
	Specific Injury	
Case Number 4	Cumulative Injury	(Start Date: MM/DD/YYYY) (End Date: MM/DD/YYYY)  (If Specific Injury, use the start date as the specific date of injury)
Body Part 1:	Body Part 2:	Body Part 3:
Body Part 4:	Other Body Parts:	
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HEAD AND LOWER	REXTREMITY	
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	(Please list all	body parts injured)

06/30/2006	emporary disability for the period		9/2005 D/YYYY	through	
00/30/2000	for which indemnity has been	paid at \$	125.00	per week.	
MM/DD/YYYY			Indemnity Paid	'	
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3. The injury(ies) caused pe	ermanent disability of 25	% for which	indemnity is	payable at \$	150.00 Indemnity Rate
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5. Medical-legal expenses a  ABC MEDICAL SERVI  6. Applicant's attorney reque	cests a fee of \$	as follows:	the effects of	said injury (ies).	
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Other stipulations:	· ·
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Law Firm/Attorney Non Attorney Representative	
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PUT UAN OF LAW FIRM	IF INJURED WORKER IS REPRESENTED.
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ddress/PO Box (Please leave blank spaces between numbers, names or word	ls)
LAMEDA	CA 95400
ty	State Zip Code
DATE THE FORM.	APPLICANT ATTORNEY SIGNS FORM.
ted 10/31/2008	Applicant Attorney Signature
/C-CA form 10214 (a) Page 7 (Rev 11/2008)	Exampl

Defendant's Attorney or Authorized Representative:				
✓ Law Firm/Attorney Non Attorney Repre	esentative	IE VOLLAD	E A CLAIME	
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Defendant's Attorney or Authorized Representa	ative:			
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## **DOCUMENT SEPARATOR SHEET**



Product Delivery Unit	ADJ		
Document Type	MEDICAL DOCS		
Document Title QME REPORTS			
Document Date	02/29//2008 MM/DD/YYYY	ENTER DATE OF DOCUMENT DOCUMENT SEPARATOR SE	T FOLLOWING HEET
Author	DR JANE BONECUTTER		
	Office Use Only		
Received Date	MM/DD/YYYY		



Qualified Medical Evaluator
Diplomat, American Board of Physical Medicine & Rehabilitation
Diplomat, American Board of Electrodiagnostic Medicine (EMG)

Patient

Evaluation Date Date of Injury Claim Number WCAB Number

Employer

Evaluation

March 14, 2008 March 19, 2005

Interval History

#### Oral thrush

Oral thrush is still treated with Diffucan prescribed by

#### **Urticaria**

Itching ostensibly due to Norco has resolved

#### Right upper extremity

Pain persists in the region of the right lateral epicondyle and extensor digitorum communis and radial turnel

#### Left lower extremity

Pain has not changed and is provoked with prolonged standing.

#### Examination

#### Sitting

Sitting was again characterized by weight bearing on the right side and avoiding weight bearing on the left.

POB 488



March 14, 2008

Page 2

Mouth

Tongue showed less fungal whiteness but a thrush-like appearance remained.

**Elbow** 

Tendemess remained in the right epicondylar region.

Lumbar Sacral Region

Abnormal finding or poin that are absent are designated as 0, that are mild are designated 1, that are moderate are designated 2, that are severe are designated 3

Tenderness	Left	Right	Normal
Thoraco-lumbar junction	0	. 0	Ö
Lumbar sacral junction	1	1	0
Pehris		*	

Abnormal finding or pain that are absent are designated as 0, that are mild are designated 1, that are moderate are designated 2, that are severe are designated 3

Tenderness	Left	Right	Normal
Sacrolliac joint	1	1	0
Sacroiliac joint compression	1	1	0
Piriformis muscle	1	1	0
Posterior iliac crest	0	0	0
Sciatic notch	2	2	0
Anterior psoas tendon insertion	2	0	0

Straight Leg Raising

Straight leg raising aggravated pain. Pressure on the left posterior hamstrings above the knee and along the sciatica nerve aggravated significant pain. The same pain complaints were provoked with pressure on the buttocks and on the sciatic notch and even on the anterior pelvis in the region of the iliacus



March 14, 2008
Page 3

#### Diagnostic Test

Magnetic resonance imaging of lumbar sacral spine on March 4, 2008 revealed L2-L3. L3-L4 2 to 3 millimeter far left lateral sub ligamentous protrusion with mild proximal left neural foraminal stenosis at both levels and 1 to 2 millimeter antero listhesis of L3 with respect to L4. At L4-L5 a 1-2 millimeter intervertebral disc bulge was noted. At L5-S1, a less than 2 millimeter intervertebral disc bulge was noted. Left renal cyst was also found

of the lumbar sacral spine revealed degenerative disc disease from L2 through S1, small posterolateral annular tears at L3-L4, L4-L5 and L5-S1, and facet arthropathy with mild neural foraminal stenosis at the left L5-S1 area with the left L5 nerve root displaced against the body of L5

In the cervical region the magnetic resonance imaging from March 4, 2008 showed a C3-C4, 1-2 millimeter left lateral intervertebral disc bud and osteophyte with mod left neural foraminal stenosis, a C5-C6 1-2 millimeter bugle and osteophytic ridge with moderate right mild left neural foraminal stenosis and borderline spinal canal narrowing, and C6-C7 1-2 millimeter lateral intervertebral disc bulge.

#### Diagnosis

L3, L4, L5, degenerative changes with annular degenerative changes primarily on the left side with left-sided solution with significant left-sided neural foraminal stenosis at the left L4 region, as noted on her most recent magnetic resonance imaging with significant sciatica noted on examination

Cervical degenerative disc disease with radiating pain to the proximal upper extremities

Left hemi hypaigesia, etiology unclear

Sleep disorder, aggravated by chronic pain

Depression and anxiety, aggravated by chronic pain

History of bladder incontinence, etiology unclear



March 14, 2008
Page 4

History of adverse reactions to Baclofen, Cyclobenzaprine and Soma or Carisoprodol with the development of oral thrush

History of adverse reaction to Lyrica causing difficulty breathing

History of adverse reaction to Neurontin or Cymbalta, actual agent not clear, causing significantly increased pain in limbs and joints

History of adverse reaction to Norco or hydrocodone causing urucaria

History of adverse response to Sulfa causing difficulty breathing

#### Future Medical Treatment

#### Avoid

Baciofen, Flexeril and Soma as they aggravated thrush.

Lyrica due to adverse effects on her ability to breathe.

Neurontin as it provoked limb and abdominal pain.

Norco as it provoke unicaria.

#### Increase

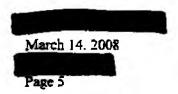
Ultram to 300 milligrams ER in an attempt to reduce pain without increasing the amount of controlled substances

#### Restart

Cymbalia 20 milligrams once again to assess its efficacy on section sciatic versus adverse effects.

#### Start

TENS to reduce pain and muscle spasm and sciatica.



#### Continue

Percocet 5/325 to reduce sciatica even though it causes mild cognitive problems. Thus is preferable to other medications that have caused more adverse reactions

#### Consider

Other medication in an attempt to find one that does not cause adverse conditions but reduces sciatic pain. I will consider Mexilitine in subsequent evaluations to reduce nerve pain

#### Re-evaluate

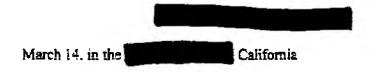
in two weeks

#### Prolonged Evaluation

Added time was spent in educating According to \_Title 8 California Code of Regulations Sections 9792.20 - 9792.23, the Department of Industrial Relations has published a Medical Treatment Utilization Schedule to replace Chapter 6, Pain, Suffering, and the Restoration of Function of Occupational Medicine Practice Guidelines, Second Edition, of the American College of Occupational and Environmental Medicine (ACOEM Practice Guidelines) education is recommended. The State of California Medical Treatment Utilization Schedule advises practitioners to develop and implement an effective strategy with skills to educate patients and recommends an education-based paradigm to start with inexpensive communication providing reassuring information to the patient. The Schedule also recommends more in-depth education to exist within a treatment regime employing functional restorative and innovative programs of prevention and rehabilitation, it advises that no treatment plan is complete without addressing issues of patient education as a means of facilitating self-management of symptons and prevention.

#### Declaration

I declare under penalty of perjury that I have not violated the provisions of California Labor Code 139.3 with regard to the evaluation of this patient or the preparation of this report



## **DOCUMENT SEPARATOR SHEET**



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Quantied Medical Evaluator
Diplomat, American Board of Physical Medicine & Rehabilitation
Diplomat, American Board of Electrodiagnostic Medicine (EMG)

#### Neuro-Diagnostic Evaluation

THE HINNELOND

Patient Evaluation Date

Date of Injury Claim Number February 29, 2008 March 19, 2005

Clinical Information

Evaluate for cause of pain in either leg.

#### Findings

Sural sensory studies recorded at the lateral ankles exhibited mildly slowed conduction velocities. Both peroneal sensory latencies recorded at both anterior ankles revealed normal conduction velocities

Evoked tibial motor compound action potentials recorded from the abductor hallucis muscles both ankies were normal. The late tibial H reflexes recorded from the calf muscles revealed a significantly prolonged latency in the right medial gastrochemius muscles muscle The late tibial F waves recorded from the abductor hallucis muscle in either foot were normal, the peroneal motor studies of the regions between the popliteal fossas and fibular heads and the regions between the fibular heads and the ankies recorded from the extensor digitorum brevis muscles in both feet were within normal limits. The late peroneal F waves recorded from the extensor digitorum brevis muscles revealed a prolonged latency on the left.

Needle electromyography studies revealed normal findings bilaterally without evidence of radiculopathy or axonal degeneration. The conduction velocity studies, however, show findings that are compatible with mild polyneuropathy with possible mild polyradiculopathy.

#### **Impression**

Mild polyneuropathy with possible mild polyradiculopathy. Clinical corroboration is warranted.

POB 488



## Diplomates, Electrodiagnostic Med

Patient Skin temp:

32° C

Physician Test Date:

02/29/08

#### Motor Nerve Study

P	rouea <sub>l</sub>	News

Rec Site EDB Lot (ms)		Dur (	Dur (ms) Amp (mV)		Area	Area (mVms)		Dist (mm):		(n/s)		
STIM SITE	L	R	L	R	L	R	L	R	L	R	L	R
Ankja	53	5 1	4 3	59	18	25	47	63	70	70		
Feb Head	13 8	123	65	58	19	23	6 B	72	380	330	50 1	45 5
Pop Fos	15 2	14 1	63	55	18	24	6.0	6.7	80	BΩ	45.0	45.7

Tibial Nerve

Rec Sae AH	Lat (ms)		Dur (r	ns)	Апр	mV)	Ares (	(mVms)	Dist (	from)
STIM SITE	L	R	L	R	L	R	L	R	L	R
Ankle	8 B	53	28	36	43	5 9	76	122	80	BO

#### Sensory Nerve Study

#### Peroneal Nerve

Rec 5 ite dors it	Lai (ms) Pk		dors fi Lat (ms) Pix Let (ms) Amp (uV)		(UV)	(νυπη (Σασία)		CV (m√s)		
STIM SITE	L	R	L	R	L	R	L	R	L	R
Lower leg	30	21	35	30	10 0	11 0	120	120	40 2	57 1

Surai Nerve

Rec Site Ankle Lat (ms) Pk Lat (ms) Amp (uv) Dest (man) CV (m/s) STIM SITE R R R mić cať 33 38 36 9 31 4 47 36 70 120 120

#### F-Wave Study

Peroneal Nerva

 Rec Size EDB
 Latteriory

 5 µm Size Ankle
 ms

 L
 R

 M wave
 5 83 5 50

 F wave
 56 67 50 50

 F-M
 50 83 45 00

Tibia! Nerve

 Rec Site AH
 Latency

 Stim Site Ankle
 ms

 L
 R

 M wave
 5.63
 4.83

 F wave
 56.17
 50.67

 F-M
 49.33
 45.83

Patient:

02/29/08

page 2

#### H Reflex Study

Tibial Nerve

Rec Site Spieus

Latency

Sim Site Pap Fos

THE

M weve

6 67 6 00

H wave

34 50 36 33

Right Tenal Nerve

Roc Site Sciens

Latency

Start Site Poo Fos

TUS

M wave

5 50

H wave

40 50

**EMG Study** 

PSW Name Ins Act Fibs Polyph MU Amp MU Der Config Partern Fascies Recruit L Gastree Med nom DODE DO NO **⊓one** norm norm (IDITE) nom oorm **n**one L Gastroc Ln потт none none none Rone ODETT nom DOM 00m norre L Peroneus Ln borm none none פחכות netm norm norm **TION** norm L Totals An norm лопе L Ext Hallen nom none HORE none HO!TE nom nom norm riorn. L Ext Dig Br norm попе none no ne none non nom norm harm 1101771 R Gastroc Med norm none попе none none aarm DOM: **POTTI** nom North R Gastroc Ln non: Norm twate hone CORE none norm DOM norm HOIM R Peroneus Ln norm none none **NUTTE** none ROTT nonn norm TOTT norm R Tibialis An norm none none nom: nom horn. morn. R ExtHalin nom none פתסת COLUM NO TITL DOTTO R Ext Dag Br **DOTATI** 

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#### **Proof of Service**

I am at least 18 years of age, not a party to this action, and I am a resident of or employed in the county where the mailing took place.

My business address is:

On 10/31/2008 served a true copy of the following documents, along with supporting documents, described as: Stipulations with request for award and qualified medical evaluation reports by enclosing them in a sealed envelope addressed to each of the parties named and at the addresses set forth in the Party List, and placing each envelope for collection and mailing at the business address herein following our ordinary business practices, with postage fully prepaid, or by other previously agreed-upon method of electronic service.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Dated: 04/16/2008		
Declarant Signature		
	Party List	

